

# NZ Construction Clients' Group

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Leaders Drive Culture ...  
... Culture Drives Performance



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Chartered Safety and Health Practitioner



# Agenda

1. Duties of a Principal;
2. Safety Charter  
Accreditation; and
3. Cost vs. Investment





# 1. Duties of a Principal





# Duties of a Principal

- Legal Viewpoint – Simon Penlington
  - Not sufficient to rely on just engaging a competent contractor
  - Principals must ensure contractors have measures in place to manage hazards on the site
  - Principals must ensure the measures are working and that the work is carried out safely

“What gets measured gets done”

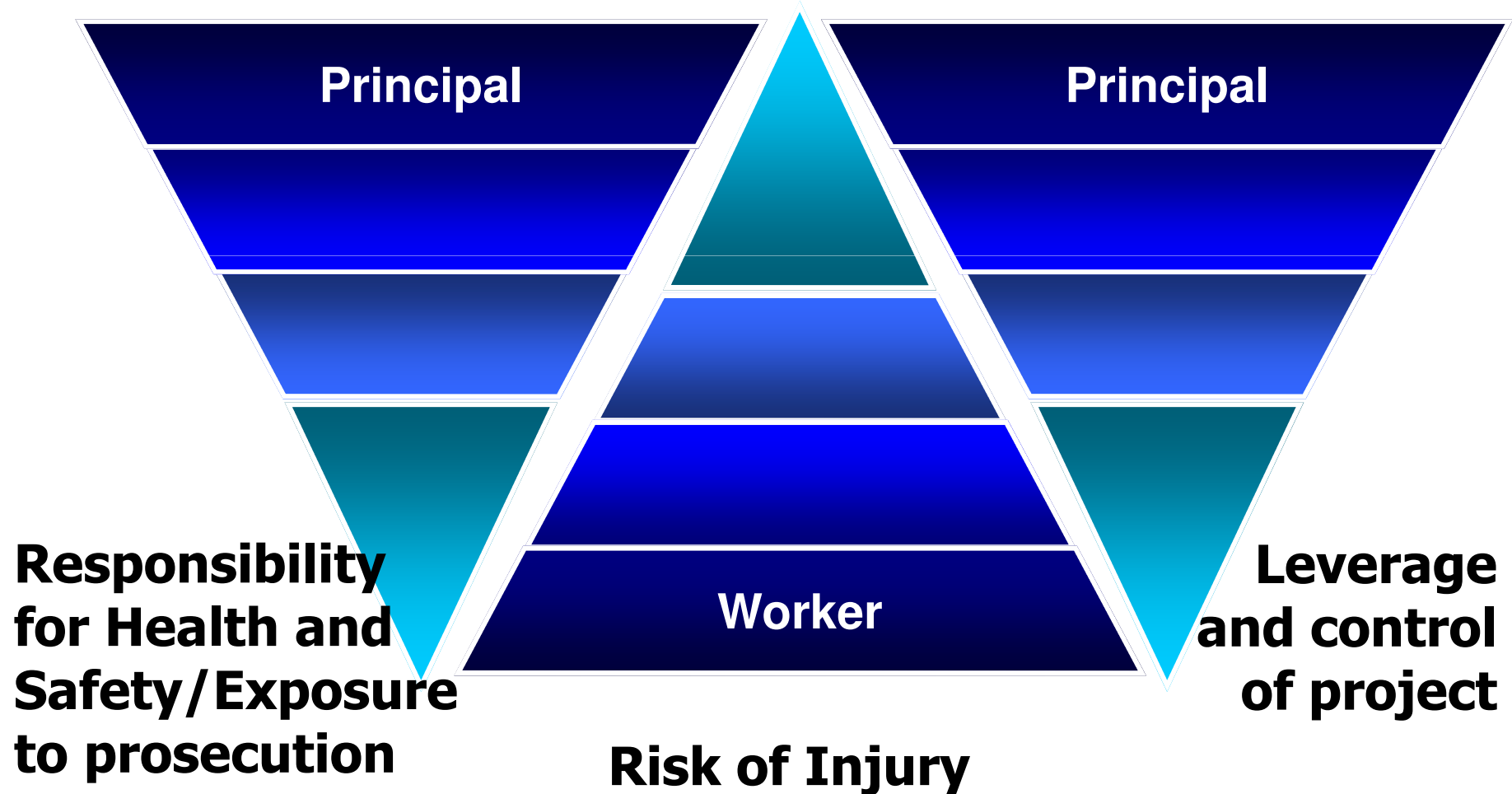


# Duties of a Principal

- Take “All Practicable Steps” to ensure no Employee of a Contractor is harmed
- As Principals/Clients your main objective is to ensure Contractors are self-managing safety
  - Contractors are responsible for their own site safety planning
- Principals are responsible for
  - Communicating what you want
  - Monitoring that safety activities are happening
  - Recognising Contractors who are doing it
  - Coaching Contractors who are not



# Hierarchy of Control





# Duties of a Principal

Case Law:

Central Cranes Ltd verses Department of Labour  
(1997);

Department of Labour verses Kerr's Construction  
(2008).

A Principal's Guide

Passport for Principles





## 2. Safety Charter Accreditation



**Audit Reports**



**Benchmark Reports**

**Linked to PDA auditing**





# Audit Report

 		<b>AUDIT REPORT</b>		
		Audit Type: Scheduled		
<b>Audit Reference:</b>	102197	<b>Auditor:</b>	John Mathew	<b>Audit Date:</b> 8-Apr-2008
<b>Company:</b>	Donaldson Construction	<b>Project:</b>	Test Site	<b>Site Manager:</b>
Question	Available Points	Actual Points	Contractors (Main / Sub)	Location / Comments
<b>MAIN CONTRACTOR SAFETY PLAN</b>				
1. Is there evidence of Site Specific Safety activity requirements in any tender document?	2	2		
2. Is there a Site Safety Management Plan in place?	2	2		
3. Is there evidence of a hazard identification and control procedure including at least one of these activities: hazard register, up to date hazard ID board, task analysis?	2	0	<b>Main Contractor</b> No Hazard Id on site.	Office
4. Is there a record of Passport, Workplace Safety or Gold Card numbers for all Workers on Site?	2	2		
5. Is there evidence that at least one "Site Subcontractor Safety Meeting" was held in the last 30 days?	2	2		
6. Is there evidence that a Toolbox Talk was held in the last 30 days?	2	2		
7. Is there evidence that at least one Safety Inspection was conducted in the last 30 days?	2	0	<b>Main Contractor</b> No evidence of inspection	Office
8. Is there a Training/Competency Register for all Main Contractor Employees on site?	2	2		
9. Is an Accident Register being maintained?	2	2		
10. Is there evidence of an Accident/Incident Investigation?	2	2		
11. Is Task Analysis being carried out for "Significantly Hazardous Physical Work"?		N/A		
12. Is there a Safety Activity Wall-Chart displayed on site?	2	2		
13. Has anyone from the Management Team signed the Wall-Chart in the last 30 days? <b>How many signatures in the last 30 days?: 3</b>	2	2		
14. For current Notifiable Work is there evidence that DoL is notified?		N/A		
15. For current Notifiable Work do all Supervisors have a current Gold Card (or appropriate equivalent)?	2	2		
16. Is there an operating Permit to Work system in place?	2	2		
17. Is there a site emergency plan?	2	2		

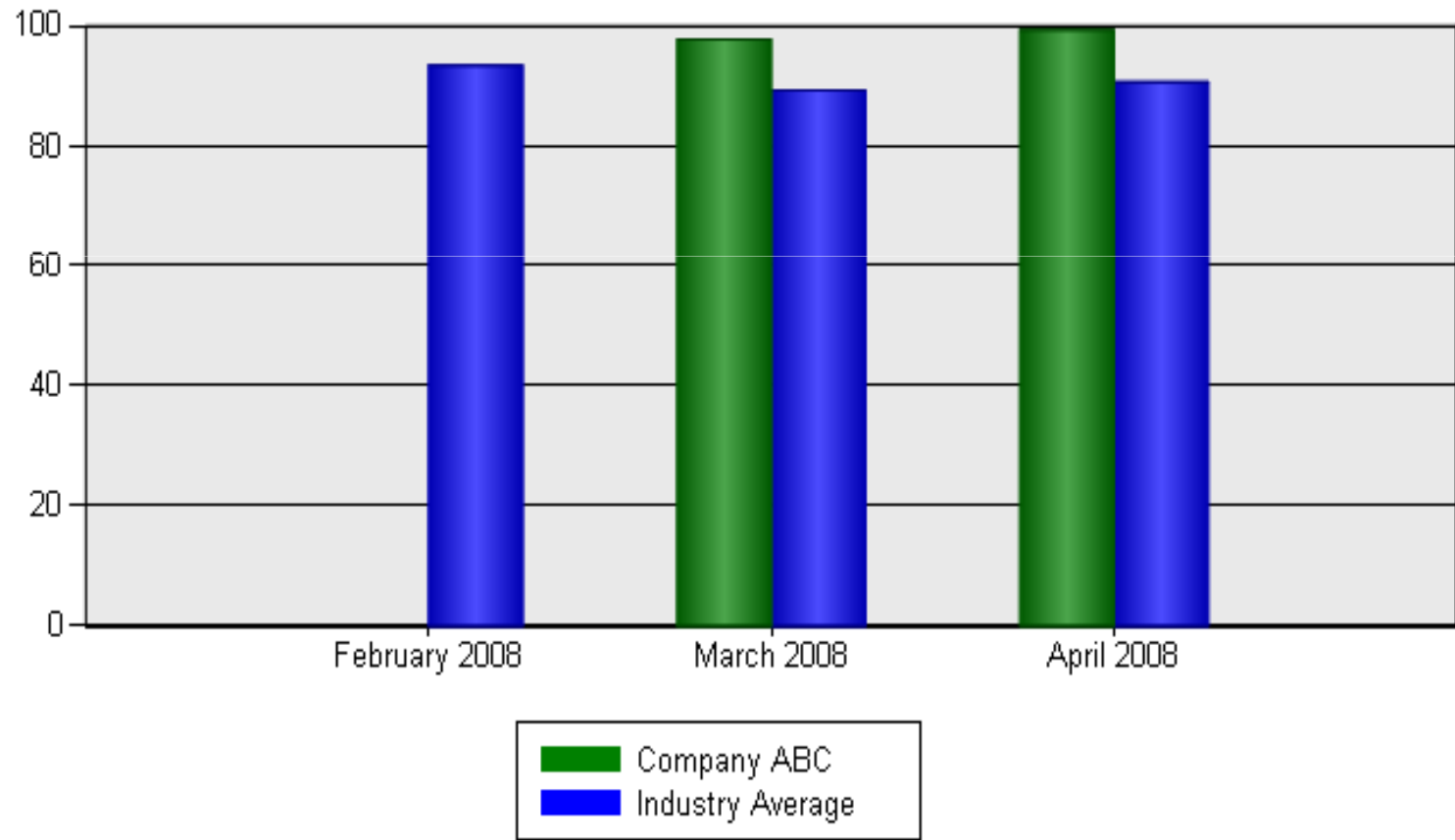


# Safety Improvement Report

CARID	Contractor	H&S Category	Questions	Unsafe Act / Condition	Safety Improvement Recommendation	Improvement Implemented	Date
2,273	ABC Construction	ASBESTOS	1. Is Asbestos Removal in accordance with DoL Guidelines?	Not compliant to DoL standard			
2,274	ABC Construction	SUBCONTRACTOR SAFETY PLANS	8. Is the name and contact number of the Safety Supervisor documented?	No details documented			
2,275	LTM Plumbers	SUBCONTRACTOR SAFETY PLANS	8. Is the name and contact number of the Safety Supervisor documented?	No details documented			
2,271	Main Contractor	MAIN CONTRACTOR SAFETY PLAN	3. Is there evidence of a hazard identification and control procedure including at least one of these activities: hazard register, up to date hazard ID board, task analysis?	No Hazard Id on site.			
2,272	Main Contractor	MAIN CONTRACTOR SAFETY PLAN	7. Is there evidence that at least one Safety Inspection was conducted in the last 30 days?	No evidence of inspection			
2,276	Main Contractor	SUBCONTRACTOR SAFETY PLANS	8. Is the name and contact number of the Safety Supervisor documented?	No details documented			
2,277	Xyz Construction	SUBCONTRACTOR SAFETY PLANS	8. Is the name and contact number of the Safety Supervisor documented?	No details documented			
2,278	Zee Airconditioning	SUBCONTRACTOR SAFETY PLANS	8. Is the name and contact number of the Safety Supervisor documented?	No details documented			

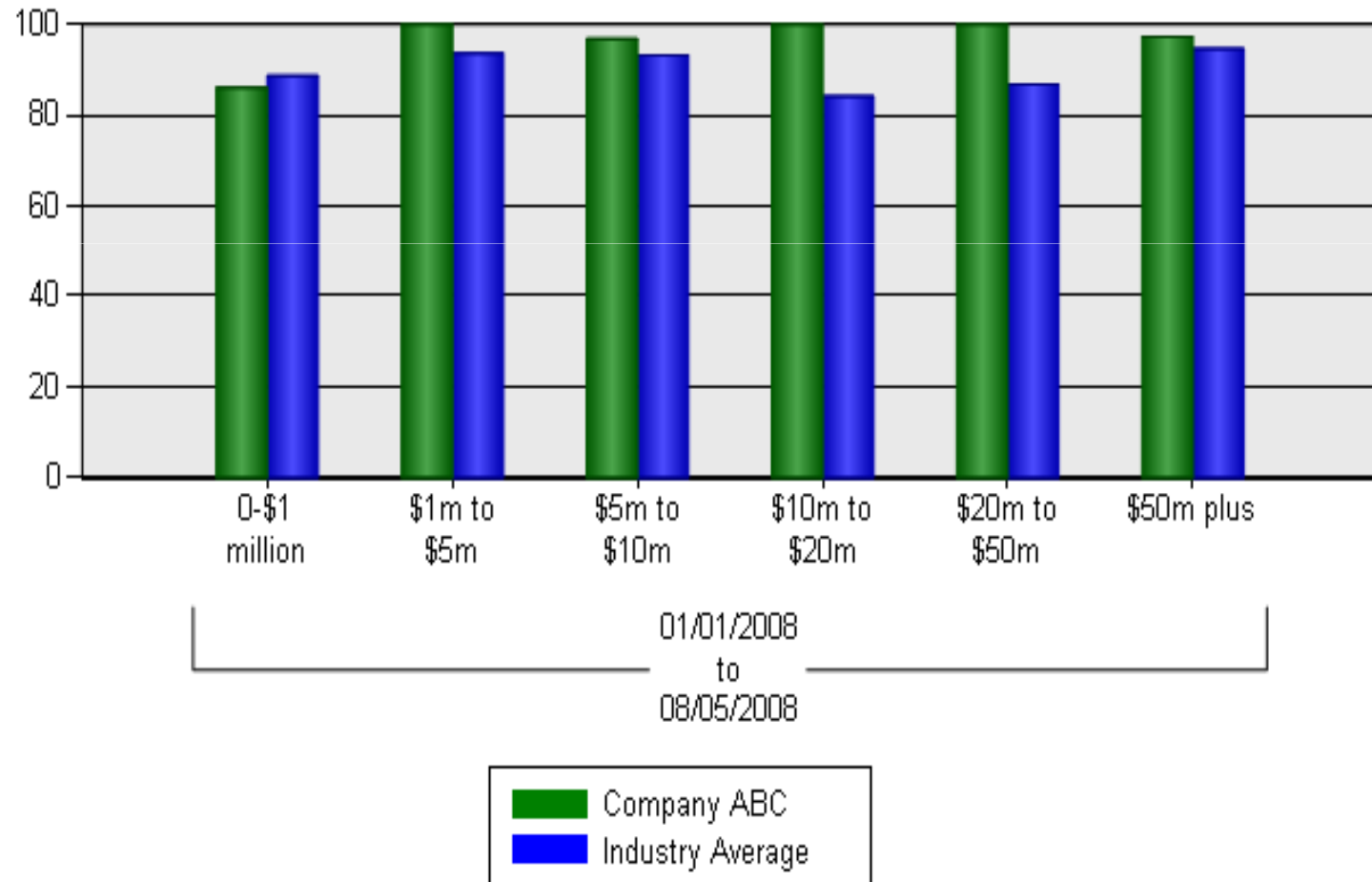


# Company Summary Report





# Benchmark by Project Value





# Benchmark by Region

